

PATENT APPLICATION TRANSMITTAL LETTER
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Docket No.
EN999068

TO THE ASSISTANT COMMISSIONER FOR PATENTS

Transmitted herewith for filing under 35 U.S.C. 111 and 37 C.F.R. 1.53 is the patent application of:

P. Callaghan et al

For: **Audible Presentation And Verbal Interaction of HTML-Like Form Constructs**

Enclosed are:

- ☒ Certificate of Mailing with Express Mail Mailing Label No. EL598673444
- ☒ Six sheets of drawings.
- ☐ A certified copy of a application.
- ☒ Declaration ☒ Signed. ☐ Unsigned.
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- ☐ Preliminary Amendment
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Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
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Dated: 9/22/00

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CC: Records

APPLICATION
FOR
UNITED STATES
LETTERS PATENT

Applicants: Patrick Callaghan, Stephen V. Feustel, Michael J. Howland
and Steven M. Pritko

For: AUDIBLE PRESENTATION AND VERBAL
INTERACTION OF HTML-LIKE FORM CONSTRUCTS

Docket No.: EN9-99-068

INTERNATIONAL BUSINESS MACHINES CORPORATION

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AUDIBLE PRESENTATION AND VERBAL INTERACTION OF HTML-LIKE FORM CONSTRUCTS

DESCRIPTION

BACKGROUND OF THE INVENTION

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Field of the Invention

The present invention generally relates to filling out HyperText Markup language-like (HTML-like) forms that are sent to a multi-modal (e.g., audio-visual) browser, and in particular, to providing a synchronized presentation of the verbal and visual components of a form having fields requiring user provided input, and allowing the user to fill in the form with verbal or tactile (e.g., visual/manual) interaction, or a combination thereof.

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Background Description

Known prior art browsers do not provide users with the ability to fill out the form by using either verbal or tactile interaction, or a combination thereof. Users must fill out a form either manually, such as by using a keyboard, mouse or touchscreen, or verbally. Users can not, however, use both in filling out a single form or set of related forms.

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In the standard visual presentation of a form, the user is free to navigate the form, as it is being filled out, via a mouse, keyboard, touch screen or the like. Because this is difficult with an audio presentation, a conversational model may be provided where the form consists of numerous questions that are filled in sequentially (answering one question leads to the

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next). This method, however, hinders the tactile interaction with the form in known prior art browsers.

U.S. Patent 5,819,220 to Surukkai et al., entitled "Web Triggered Word Set Boosting for Speech Interfaces to the World Wide Web", concerns performing word analysis on the recent past content and using this information to enhance the speech recognition of future commands.

U.S. Patent 5,864,340 to Bertram et al., entitled "Mobile Client Computer Programmed to Predict Input", concerns "predictive widgets", which is an algorithm that attempts to predict the default values for various form fields.

U.S. Patent 5,748,186 to Raman, entitled "Multimodal Information Presentation System", concerns obtaining information, modeling it in a common intermediate representation, and providing multiple ways, or views, into the data. However, the patent is not concerned with a multi modal method of presenting and the explicit/implicit navigation of an HTML form construct.

SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide a Embedded Browser Markup Language-based (EBML-based) multi-modal browser (hereinafter "multi-modal browser") that serves a form having one or more fields via a synchronized verbal/visual presentation and allows a user to fill in the form with verbal and/or tactile interaction.

It is another object of the present invention to provide a multi-modal browser that receives forms sent by an application where the forms utilize EBML, which is based on the existing HTML form paradigm, and need not be aware that a multi-modal browser is being used.

Specifically, the present invention is intended to minimize the responsibility on the application for dealing with the multi-modal aspect of the presentation. Thus, if the form were presented using a conversational mode (question-answer), the application would not be able to generate the markup using a single form with any similarity to standard HTML forms. Since those skilled in the art know how HTML forms are constructed, this browser intends to use this general structure to make the form multi-modal for the application developer where ever possible.

The present invention enables a multi-modal browser to handle EBML (HTML-like) forms that have one or more form elements, or fields, that are presented to a user. The application can send the EBML forms to the multi-modal browser without a detailed understanding of how the multi-modal browser will perform the verbal and visual presentation of the form. The present invention also audibly presents the information to users in a field-by-field manner as well. In addition, audible navigation among the fields is also provided. The multi-modal browser serves the form via a synchronized verbal/visual presentation, and allows a user to fill in the form via either verbal or tactile interaction, or a combination thereof. The user then submits the form through tactile interaction (e.g., by pressing a simulated button) or verbal interaction (e.g., by uttering the appropriate verbal command). Optionally, the form may also be submitted automatically (i.e., without user interaction) after all required fields have been specified.

BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, aspects and advantages will be better understood from the following detailed description of a preferred embodiment of the invention with reference to the drawings, in which:

Figure 1 is an example of a form that may appear on a multi-modal web browser of the present invention and uses text input fields;

Figure 2 is a example of a form that is synchronized with an audio component and uses selection boxes;

5 Figure 3 is a flow diagram of the logic that couples the audio and visual components;

Figure 4 is a diagram showing the relationship between an audio queue, document tree, and model view components;

10 Figure 5 shows how the document is parsed for processing and a view built for the audible and visual presentations; and

Figure 6 is a diagram showing an example of an audio queue.

DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT OF THE INVENTION

15 Figure 1 shows a typical form 100 that may be presented to a user via the multi-modal browser of the present invention. A form 100 is comprised of a collection of form elements, or fields, 102 and 104. In this particular form, the Name 102 and Customer's Problem 104 fields are presented. The code that generated form 100 is as follows:

	<EBML>	(1)
	<BODY>	(2)
	Please complete the following form.	(3)
	<FORM ACTION="/post/p_servlet" REREAD="10000" METHOD="POST">	(4)
5	<INPUT TYPE="HIDDEN" NAME="hid1" VALUE="hid-value"/>	(5)
	 	(6)
	<P>	(7)
	<SILENT>Name:</SILENT>	(8)
	<INPUT TYPE="TEXT" NAME="cust_name" REREAD="5000">	(9)
10	<INVISIBLE>What is the customer name? </INVISIBLE>	(10)
	</INPUT>	(11)
	</P>	(12)
	<P>	(13)
	<SILENT>Customer's Problem:</SILENT>	(14)
15	<INPUT TYPE="TEXT" NAME="problem" REREAD="5000">	(15)
	<INVISIBLE>What is the customer problem? </INVISIBLE>	(16)
	</INPUT>	(17)
	</P>	(18)
	<INPUT TYPE="SUBMIT"/>	(19)
20	</FORM>	(20)
	This message is after the form.	(21)
	</BODY>	(22)
	</EBML>	(23)

25 The <FORM> element shown in line (4) contains general form settings, including a time to delay before rereading the form element. The hidden data allows application developers to include inert information (information having no visual or audible presence during the presentation) within the form. This information is returned during processing. A text input field for the customer name and problem is provided in lines (9) and (15),

respectively. The <INPUT> field contains, among other things, information describing the delay before the construct is to be reread. A submit button is also provided in line (19) to activate the form.

Referring again to Figure 1, at least one of the fields (102 or 104) should be filled in prior to submitting the form by either tactile means such as a mouse or keyboard, or by verbal commands recognized by the multi-modal browser to transmit the form. Those skilled in the art of designing Web sites (i.e., the World Wide Web (WWW) portion of the Internet, or simply "the Web") will realize that a pull down menu that provides users a list from which to select could also have been provided for any or each of fields 102 or 104. It will also be apparent to those skilled in the art that form 100 shown in Figure 1 is illustrative only and that an infinite variety of forms can be used. The form 100 itself is also considered a form element.

The present invention provides a method and system for explicitly and implicitly navigating the form 100 and the fields 102 and 104 contained within form 100. To navigate the form 100 by using verbal commands, the multi-modal browser of the present invention reads the audio elements (lines 10 and 16) of the fields to be filled in, and types what the user says. The multi-modal browser would thus read the Name 108 prompt (line 10) and the Customer's Problem 110 prompt (line 16).

The multi-modal browser also understands standard verbal commands that may be issued by a user whenever a form is being filled in. For example, in a preferred embodiment, the multi-modal browser may understand verbal commands such as SKIP, REVIEW, SUBMIT, CANCEL and RESET. The SKIP command will skip from a present field to the next field. The REVIEW command will review the form to ensure that all fields contain information. The SUBMIT command submits the form to the application program for processing. The CANCEL cancels, or erases, information currently within a

field. The RESET command directs the browser to clear the form and reprocess it from the top (i.e., reread the form to the user). It should be understood by those skilled in the art that other verbal commands can also be provided.

5 In a preferred embodiment, the default mode for the multi-modal browser will be to read the fields 102 and 104 in the order in which they are presented on the form 100. However, the user can also fill in fields 102 and 104 in any order via the SKIP command and, if desired, subsequently return to any field that has been skipped. The multi-modal browser will first default to
10 field 102 corresponding to the Name 108 heading. A user can then say "SKIP" to have the multi-modal browser skip field 102 so that the multi-modal browser moves to field 104 before any data is provided as input to field 102.

 Additionally, users can select which fields 102 and/or 104 to fill in via tactile interaction such as by a touchscreen, mouse, or keyboard. The multi-
15 modal browser of the present invention thus allows for input to the fields within the form 100 via both verbal and tactile modes, or a combination thereof. For example, a user could provide input to field 102 verbally, and then select field 104 via a touch screen and provide verbal input. Alternately, a user could use the SKIP command to verbally skip field 102, and then select
20 field 104 (or another field) via the touchscreen and use the keyboard to enter the information.

 Referring now to Figure 2, the audio-visual modes of the multi-modal browser are synchronized as follows. If a selection box 202 is encountered during the audio presentation of the form, the visual representation of the
25 selection box 202 may expand, or become enlarged, to display alternatives for selection. It should be noted that not all form elements need to "expand" and "contract". Form elements may also, for example, change color to indicate that they are "in scope" when the audio progression falls to them. Once the audio

presentation corresponding to the selection box 202 is completed and the audio presentation moves on to the next form element 204, the visual representation of selection box 202 contracts, and the selection box 204 then expands or becomes enlarged. This tight coupling of the audio and visual presentation allows a user to quickly glance at the visual component should the audio presentation prove inadequate, and *vice-versa*. A common example of this is in the pronunciation of customer names. If the browser reads a customer name, the speech engine may mispronounce it. A quick glance to the visual component, however, allows the user to verify the intended information.

In addition to the visual/audio synchronization provided, the audio presentation is affected by the value currently specified in the subject form field. If a form field currently contains a value (either because it was specified in the markup language to contain an initial value or because it was filled due to previous interaction with the user) its current value will be audibly presented to the user, followed by a short pause.

After the audible presentation of the value for field 202 and the ensuing pause, the audio progression will continue to the next field 204 in the form 200. Then, if no value has been previously specified for subject field 204 and a value is not specified within the specified time limit, the audio progression will return (i.e., loop) to the beginning of form element 204, and re-present any prompting information associated with form element 204. This, in effect, re-asks the user to answer the specified question and provide the requested information.

When the last field 204 of the form 200 is reached by the audio progression, a pause is generated. Since the entire form is also considered a form element, the audio progression loops back to the top of the form (e.g., to field 202) after the pause expires. This continues until a user uses a verbal

command to either SUBMIT, CANCEL or RESET the form, or uses a tactile input method to accomplish the same thing.

Each form element 202 and 204 has a distinct behavior that it exhibits when the audio progression enters and exits the respective element. Providing input into a form element during the audio progression causes local verbal commands specific to the element to come into “scope” should it support any verbal command interaction. For example, the KEYBOARD command is enabled when a form field needing a keyboard is encountered (i.e., a text input field), the RECORD VOICE and PLAY BACK commands are enabled when a form field that allows the user to record voice or play back a recorded voice is encountered, and the SELECT IT command is enabled when a form selection box is encountered. When the audio progression moves beyond a form element, local scope commands associated with that particular form element are then disabled. Also, there are form “scope” commands in which some elements register form scope verbal commands. Typical form scope commands are CANCEL, SUBMIT and RESET, as previously discussed, which allow the form node in the tree to do the registration and manage the enablement and disablement of the command set. In addition, as the form is audibly presented to the user, the visual component of the form remains synchronized with the audio presentation, as previously discussed.

The logic associated with the above-described coupling of the audio and visual components is shown in Figure 3. In function block 300, the multi-modal browser performs the form entry logic, which involves enabling verbal commands that will be in “scope” (i.e., active) during the lifetime of the entire form. The field entry logic of function block 302 involves enabling verbal commands that will be in “scope” during the time the field is being read to the user. A test is made in decision block 304 to determine whether there is a value in the current field. If not, the multi-modal browser waits for user

input in function block 308; otherwise, the multi-modal browser speaks the current value in the current field in function block 306 and proceeds to function block 308. A time out determination is made in decision block 310. If the field “times out” without any user input or previous value having been provided, the process loops back to the field entry logic 302. If user input has been provided, the multi-modal browser proceeds to the next field in function block 312.

The key to implementing this scheme is the audio queue, shown in Figure 4. In general, the audio queue contains a series of objects that control the progression. For example, the audio queue may contain objects that:

- a) contain the text to be spoken;
- b) mark the entry to and/or exit from a form (200);
- c) mark the entry / exit to a form element (202 and /or 204);
- d) request an interruptible pause to the audio presentation; and
- e) request a repositioning of the audio queue (including the ability to loop back and repeat part of the audio queue).

As will be appreciated by those skilled in the art, other objects having other functions may also be provided.

As shown in Figure 4, buffers 402, 406 and 408 contain reference links 414, 416 and 418, respectively, to appropriate nodes in the model tree 440 that allow them to delegate control to the model node regarding how it should react based on the current condition of the audio/visual presentation. For example, when a form field 102 or 104 (as shown in Figure 1) is encountered, the audio queue 400 entry node for the field requests the action to be taken.

An object in the audio queue 400 may determine whether the current value should be read, or provide an output to the user stating that “The current value is unspecified” from the controlling node in the model tree. This allows the audio queue 400 to be kept independent of the current state of the document.

As will readily be recognized by those skilled in the art, Figure 4 shows the relationship between the audio view 400, the document tree 440, and the model view components 438 of lines (1)–(23) of the code disclosed herein corresponding to Figure 1.

5 Figure 5 shows a parsed representation 500 of lines (1)–(23) of code corresponding to Figure 1. As will be readily recognized by those skilled in the art, a parser contained within the multi-modal browser builds the representation. The parsed representation 500 is used to build the audio view 400 by traversing the document tree 440 tree (by pre-order traversal), shown in
10 Figure 4, which is responsible for providing the interaction with the form elements 102 and 104, shown in Figure 1.

As shown in Figure 6, the audio view 400 consists of a managed queue 600 of audio elements (A–M). The audio view 400 may be further divided into INPUT Elements 602 and 604, comprised of elements C–F and G–J,
15 respectively. The Entire <FORM> construct 606, in turn, is comprised of elements B–L. As will be readily recognized by those skilled in the art, there are several types of audio elements, and the correct combination provides the desired behavior of the multi-modal browser. The types of audio elements include:

- 20 i) Output elements, which provide an audible presence within the managed queue. In a preferred embodiment, output elements can issue either Text To Speech (TTS) or recorded output (WAV files).
However, it should be understood that other output formats can be used.
- 25 ii) Construct-entry elements provide a mechanism that allows visual synchronization and the enablement of commands considered local to the construct.
- iii) Delay and adjust elements are inserted to temporarily delay the audible

portion of the presentation. If left to expire, they jump to a new location within the queue.

- iv) Construct-exit elements provide a mechanism allowing visual synchronization and the disablement of commands considered local to the construct.

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Other threads can also effect the audio queue and its current position. This is done during the processing of command events (either verbal or tactile commands). For example, consider a speech recognition thread. When a speech command is recognized, it is done on a thread other than the thread doing the audio progression on behalf of the browser. This thread requests that the progress be stopped, re-positioned and then restarted. This is coordinated through the audio queue.

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The audible presentation would begin with “A” and proceed until the delay “E”. Threads responsible for processing audible/tactile commands have the ability to force progression of the audio queue to “F” should the input be satisfied or as a result of a user command to skip to the next field. When a construct start element is encountered, as shown in “C”, the audio service thread will enable any commands considered local to that element. For example, the **KEYBOARD** command is meaningful while an **INPUT TYPE=TEXT** is in scope. Once the structure is no longer in scope, the command is disabled by the construct end audio element “F”. The **INPUT TYPE=“SUBMIT”**, element has no presence on the audio element queue 600, but instead, registers commands with the **<FORM>** element directly. Any of its commands thus become **<FORM>** scope. When the **<FORM>** element comes into scope, as shown in “B”, any commands known to it become enabled. Since the **<FORM>** itself is also considered a **<FORM>** element, a delay and adjust element is inserted before the construct end, as shown in “K”.

[illegible]

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CLAIMS

Having thus described our invention, what we claim new and desire to secure by Letters Patent is as follows:

- 1 1. A computer implemented method of visually and audibly navigating fields
2 within a form presented on a multi-modal browser, comprising the steps of:
3 providing to the multi-modal browser a form having one or more fields
4 requiring user supplied information;
5 prompting by the multi-modal browser a user to fill in a form field by
6 verbal or tactile interaction, or a combination of verbal and tactile interaction;
7 and
8 moving to another form field requiring user provided input either after
9 a current form field has been filled in by the user or the user selects by verbal
10 or tactile interaction another form field.
- 1 2. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser recited in claim 1,
3 further comprising the step of exiting the form after the user has supplied
4 input for all required fields.
- 1 3. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 1,
3 wherein the step of prompting is performed by reading aloud to the user a
4 heading of a form field to be filled in.
- 1 4. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 3,

3 further comprising the step of audibly presenting to the user any information
4 that is contained in the form field.

1 5. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 3,
3 further comprising the step of typing into the form field words responsively
4 spoken by the user.

1 6. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 1,
3 wherein during the moving step the browser responds to one or more verbal
4 commands provided the user.

1 7. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 6,
3 wherein the one or more verbal commands are selected from the group
4 including:

5 a command that directs the browser to skip from a current field to
6 another field;

7 a command that directs the browser to review the form to ensure that
8 all fields contain information;

9 a command that submits the form to an application program for
10 processing;

11 a command that cancels, or erases, information currently within a field;
12 and

13 a command that directs the browser to clear the form and reprocess it.

1 8. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 1,
3 wherein during the moving step a default mode for moving is to read the form
4 fields in an order in which they are presented on the form.

1 9. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 1,
3 further comprising the step of prompting the user for input by the browser
4 after a specified time period if the user has not responded to an earlier prompt.

1 10. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim 1,
3 wherein an audio queue controls the prompting, moving and exiting steps.

1 11. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim
3 10, wherein the audio queue contains objects that contain text to be spoken.

1 12. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim
3 10, wherein the audio queue contains objects that mark an entry to and an exit
4 from the form.

1 13. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim
3 10, wherein the audio queue contains objects that mark an entry to and an exit
4 from a form element.

1 14. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim
3 10, wherein the audio queue contains objects that request an interruptible
4 pause to the audio presentation.

1 15. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim
3 10, wherein the audio queue contains objects that request a repositioning of
4 the audio queue.

1 16. The computer implemented method of visually and audibly navigating
2 fields within a form presented on a multi-modal browser as recited in claim
3 15, wherein the repositioning includes the ability to loop back and repeat part
4 of the audio queue.

AUDIBLE PRESENTATION AND VERBAL INTERACTION OF HTML-LIKE FORM CONSTRUCTS

ABSTRACT OF THE DISCLOSURE

5 A method of synchronizing an audio and visual presentation in a multi-modal browser. A form having at least one field requiring user supplied information is provided to a multi-modal browser. Blank fields within the form are filled in by user who provides either verbal or tactile interaction, or a combination of verbal and tactile interaction. The browser moves to the next field requiring user provided input. Finally, the form exits after the user has
10 supplied input for all required fields.

100
110

Please complete the following form.

108
2 Name: 102

2
Customer's Problem: 104

106

This message is after the form.

FIG 1

208 ~ Name: ~ 202
210
~ Customer's Problem: ~ 204

 ~ 206

200

FIG 2

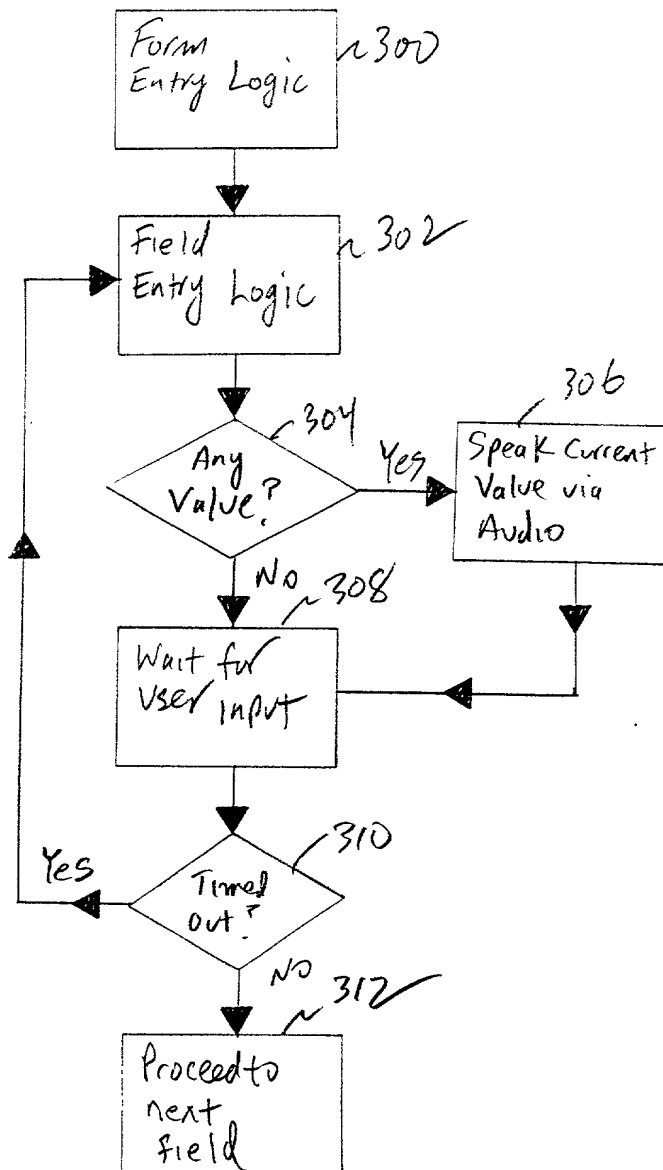


FIG 3

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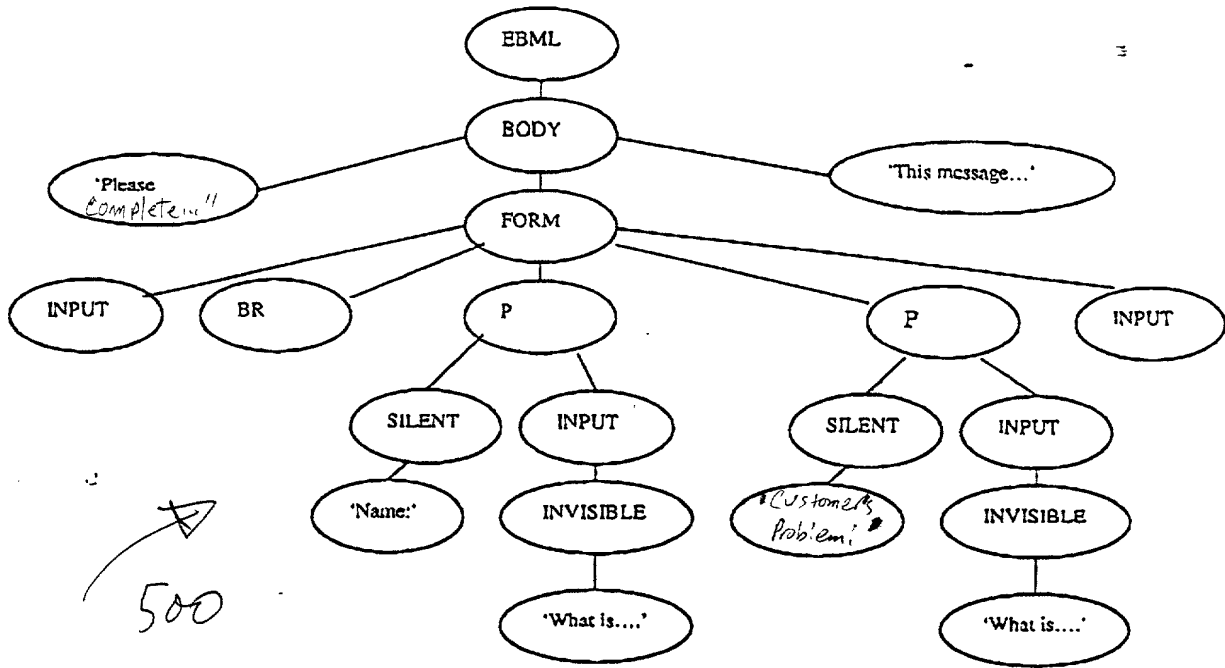


FIG 5

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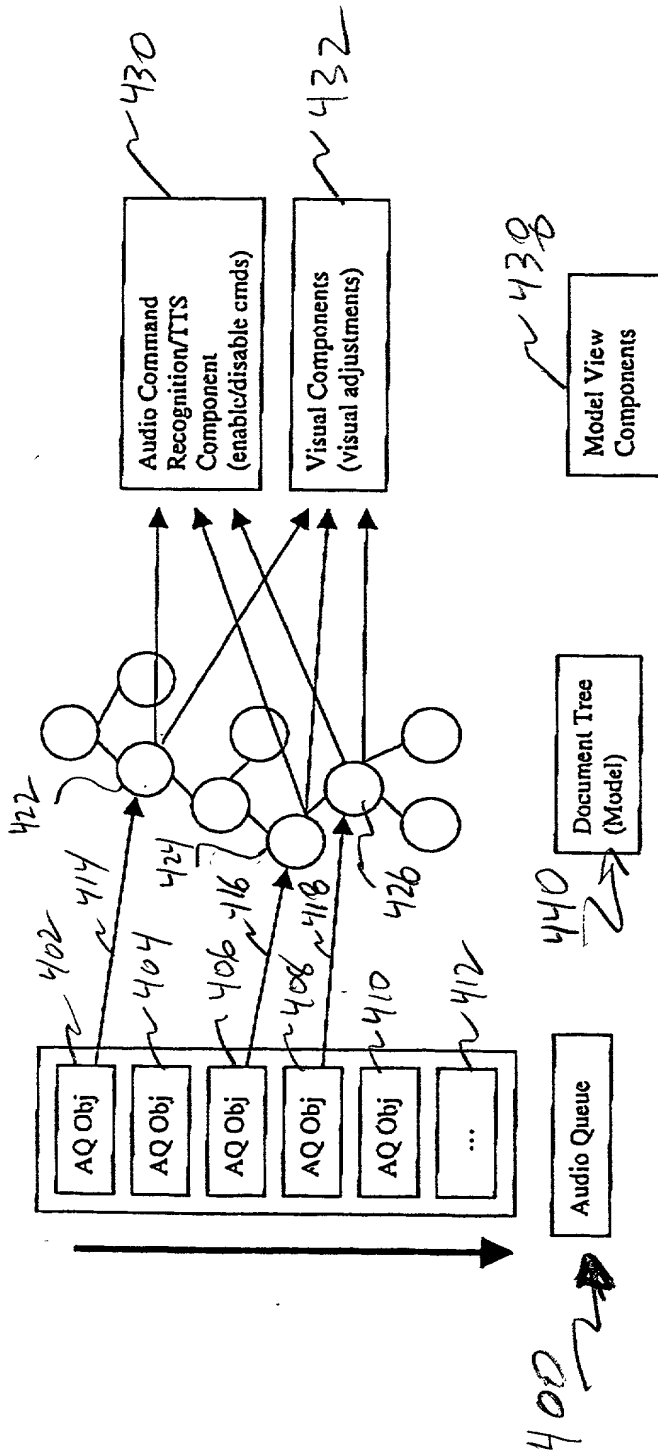


FIG 4

Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that::

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: AUDIBLE PRESENTATION AND VERBAL INTERACTION OF HTML-LIKE FORM CONSTRUCTS

the specification of which (check

☒

is attached hereto.

☐

was filed on _____
as Application Serial No. _____
and was amended on _____.

I hereby state that I have reviewed and understand the contents of the above- identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s):

Number	Country	Day/Month/Year	Priority Claimed
NONE			

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S. Applications:

Serial No:	Filing Date	Status
NONE		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Power of Attorney: As a named inventor, I hereby appoint David L. Adour, Reg. No. 29,604, Lawrence R. Fraley, Reg. No. 26,885, John R. Pivnichny, Reg. No. 43,001, Arthur J. Samodovitz, Reg. No. 31,297, William H. Steinberg, Reg. No. 28,540, Christopher A. Hughes, Reg. No. 26,194, Edward A. Pennington, Reg. No. 32,588, John E. Hoel, Reg. No. 26,279, Joseph C. Redmond, Jr., Reg. No. 18,573, C. Lamont Whitham, Reg. No. 22,424, Marshall M. Curtis, Reg. No. 33,138, Michael E. Whitham, Reg. No. 32,635 and Joseph M. Martinez de Andino, Reg. No. 37,178 as attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. All correspondence should be directed to McGuireWoods LLP, 1750 Tysons Boulevard, Suite 1800, McLean, Virginia 22102. Phone calls should be directed to McGuireWoods LLP, at (703) 712-5000.

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- (3) Inventor: Michael J. Howland
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Signature: Steven M. Pritko Date: 9/21/2000
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Post Office Address: Same as residence

*Title 37, Code of Federal Regulations, § 1.56:

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith toward the Patent and Trademark Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes, by itself or in combination with other information, a prima facie case of unpatentability; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the Office, or (ii) asserting an argument of patentability.